

**REQUEST FOR QUALIFICATIONS
FOR THE**

**Tri-County Juvenile
Rehabilitation Facility
Colusa, Sutter, and Yuba Counties**

USING THE
Design-Build Method of Project Delivery



**915 8TH STREET, SUITE 119
MARYSVILLE, CA 95901**

August 2016

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Vanir Project No. T-543A

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Notification of Pre-Qualification Requirements

NOTIFICATION OF PRE-QUALIFICATION REQUIREMENTS FOR THE DESIGN & CONSTRUCTION OF THE NEW TRI-COUNTY JUVENILE REHABILITATION FACILITY

Statement of Qualifications Due: No Later than 2:00 P.M., September 13, 2016

The County of Yuba is requesting competitive proposals for Design-Build services to design and construct a new, stand-alone, single story juvenile rehabilitation facility and related site work on approximately 3 acres of vacant county owned land across the street from the county's existing juvenile hall. The existing juvenile hall is located at 1023 14th Street, Marysville, California 95901 and will provide laundry and kitchen services to the new facility for the immediate future. The new facility to be built at one time is partially funded by two separate State funding sources.

The Tri County facility is a regional facility that will serve the three counties of Yuba, Sutter and Colusa. Yuba County will be the lead agency on this project. Majority funding is provided by the state SB81 program.

The County of Yuba reserves the right to reject any and all RFQ's and to waive minor irregularities, to accept any RFQ or portion thereof, and to take all RFQ's under advisement for a period of ninety (90) days.

Any contract entered into pursuant to this notice will incorporate the provisions of the State Labor Code, Labor Code Section 1735, that requires no discrimination be made in the employment of persons upon public works because of the race, religious creed, color, national origin, ancestry, physical handicap, medical condition, marital status, sexual orientation, or sex of such persons, except as provided in Government Code Section 12940.

Compliance with apprenticeship employment standards established by the State Director of Industrial Relations will be required. The Design-Build entity shall provide an enforceable commitment to the County that the entity and its subcontractors at every tier will use a skilled and trained workforce to perform all work on the project that falls within an apprenticeable occupation in the building and construction trades.

County of Yuba hereby notifies all proposers that it will affirmatively ensure that in any contract entered into pursuant to this advertisement, minority / disadvantaged business enterprises will be afforded full opportunity to submit submissions in response to this invitation and will not be discriminated against on the grounds of race, color, sex, or national origin in consideration for the award.

Late proposals shall be rejected and returned to the proposer. This deadline is absolute and proposals received after the due date and time will not be considered. Proposers must select a method of delivery that ensures proposals will be delivered to the correct location by the due date and time.

DEFINITIONS

- "Design Build Entity" ("DBE") means a partnership, joint venture, corporation, or other legal entity that is able to provide appropriately licensed contracting, registered architectural, and licensed engineering services as needed.
- "Design-Build" means a selection process in which both the design and construction of a project are selected from a single entity.
- "Design-Build Project" means a capital outlay construction project using the Design-Build project delivery method.
- "Design-Build Team" means the Design-Build Entity itself and the individuals and other entities identified by the DBE as members of its team.
- "SOQ" – means "Statement of Qualifications".

- The term "Associates" shall mean all of the following:
 1. The current qualifiers for all current Contractors State License Board contracting licenses held by the Design-Builder.
 2. All current officers of a Design-Builder which is a corporation.
 3. All current partners of a Design-Builder which is a partnership.
 4. All current joint venturers of the joint venture Design-Builder which is seeking prequalification.

STEPS IN THE SELECTION PROCESS

The County's competitive selection process will proceed in two steps.

Step 1 is the Shortlist Phase in the Design-Build Entity (D-B Entity) selection process. This phase consists of an objective scoring methodology to rank candidate D-B Entities. The SOQ's received in accordance with this RFQ will be reviewed and ranked. A shortlist of what is anticipated to be the top ranked three (3) firms will be the only firms allowed to participate in the Step 2 Request for Proposal process.

Step 2 is the Technical Proposal Phase of the selection process. Only those D-B Entities that were successfully shortlisted in Step 1 will be allowed to participate in Step 2. The County will distribute the Request For Proposals (RFP) to those D-B Entities shortlisted in Step 1. The RFP will be a detailed description of the County's expectations for the Project including the Project Space Program, Project Construction Budget, Performance Criteria, Standard Form Design-Build Agreement, known site conditions, and other pertinent project information. The RFP will also stipulate the minimum deliverables and level of detail required in the proposal submittals for consideration. During the proposal development period, the County may conduct a series of informal and confidential conferences with the individual prospective proposers if necessary. Upon submission, the County and its review team will evaluate the proposals on their merits and based on their conformance to the published performance standards. County staff will provide a recommendation to the County Board of Supervisors for consideration and final selection. That recommendation will be based upon adherence to the performance criteria and overall best value to the County. It is anticipated the Request for Proposals will be distributed in the Fall of 2016.

GENERAL DESCRIPTION OF THE PROJECT

This project consists of the design and construction of a new facility and related site work. The facility is a stand-alone single-story juvenile rehabilitation facility located at 938 14th Street, Marysville, California. The project includes approximately 21,590 square feet to house and provide treatment and program space for 48 male and female juveniles on approximately 3 acres of vacant county owned land across the street from the county's existing juvenile hall. The

existing adjacent juvenile facility will provide laundry and kitchen services to the new facility for the immediate future.

Each housing unit will consist of single and double occupancy housing units to house 16 youths. Housing units are to include dayroom space with restroom/shower facilities, a janitor closet, storage room, and secured outdoor recreation yards. Each housing unit will be directly adjacent to an individual program room.

The support services space within the new facility includes the housing control room, storage, juvenile program and therapy space, and administrative and staff services spaces to include conference rooms, office and administrative areas, a break room with staff lockers, and restrooms. The intake/release services will consist of pre-booking space, a large processing area, changing room, interview room, pedestrian and security fenced vehicle sallyports, intake holding areas, property storage room, and an office. The new laundry facility will include space for a future washer and dryer, and the servery will provide space for staff to stage food that has been prepared in the existing kitchen across the street.

The Health Services area will consist of a medical office, file storage, a small pharmacy, medical exam room, and a group therapy room.

Contact visitation space will be provided in a large multipurpose room. A small booth will be provided adjacent to it for use by visitors or attorneys.

The project will be a highly functional, energy efficient, and resource conscious juvenile rehabilitation facility. The County may decide to seek LEED Silver Certification. The D-B Entity selected as a result of their Technical Proposal for the Project will be tasked with designing and constructing the new facility for delivery including all State, County and any other applicable approvals.

LABOR COMPLIANCE

The successful DBE including the General Contractor and all subcontractors must comply with the provisions of State Senate Bill 854 (Stat. 2014, chapter 28), which became effective January 1, 2015. This includes the following:

1. No contractor or subcontractor may be listed on a bid proposal for public works projects (submitted on or after March 1, 2015) unless registered with the Department of Industrial Relations (DIR) pursuant to Labor Code section 1725.5 [with limited exceptions from this requirement for bid purposes only under Labor Code section 1771.1(a)]
2. No contractor or subcontractor may be awarded a contract for public works on a public works project (awarded on or after April 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5.
3. This project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

COUNTY CONSULTANTS

The County of Yuba has contracted with Vanir Construction Management, Inc. for Criteria Design and Project Management Services. Bridging Document subconsultants are not eligible to provide design services to the Design-Build Entity. These subconsultants to Vanir include:

- Structural - CYS Structural Engineers, Inc.
- Mechanical – Capital Engineering Consultants, Inc.

- Electrical, Security Electronics, Low Voltage – AVS Engineers
- Civil – Warren Consulting Engineers, Inc.

LOCAL PARTICIPATION

The proposing D-B Entities will be encouraged to make a good faith effort to maximize their outreach to local businesses and subcontractors. Local businesses and subcontractors include subcontractors, suppliers, and service providers.

ATTACHMENTS TO THIS REQUEST FOR QUALIFICATIONS DOCUMENT

The attachments to this Document provide plan drawings and related images of the new facilities as developed in the “bridging” documents. The complete bridging documents including electronic copies and BIM model will be included in the Technical Proposal Phase (Step 2).

FUNDING AND MINIMUM BONDING REQUIREMENTS

The total design-build estimate for the Project is approximately \$16,200,000. The minimum bonding capacity will equal the construction budget. Prospective D-B Entities that cannot provide bonding at that amount will not be considered qualified even though they may be qualified otherwise.

NOTICE OF REQUEST FOR QUALIFICATIONS REQUIREMENTS

Notice is hereby given that the County has determined that all proposers on the Project to be undertaken by the County must be shortlisted prior to submitting a proposal on the Project in accordance with California Public Contract Code section 22164. It is mandatory that all Design-Build Entities, who intend to submit a proposal, complete their Statement of Qualifications, provide all materials requested and be pre-qualified by the County. No proposal will be considered from a D-B Entity that has failed to comply with these requirements. The Owner is not responsible for any costs that the Contractors may incur to complete the prequalification questionnaire.

Answers to questions contained in the Request For Qualifications are required. The County will use these documents as the basis of evaluating D-B Entities. The County reserves the right to check other sources available. The recommendations to the Board of Supervisors will be based on stated evaluation criteria.

While it is the intent of the Request For Qualifications and supporting information required to assist the County in determining proposer responsibility prior to proposal, the fact of pre-qualification, nor any pre-qualification rating, will not preclude the County from a post-proposal consideration and determination of whether a proposer has the quality, fitness, capacity, and experience to satisfactorily perform the proposed work.

A D-B Entity may be found Disqualified for proposing on this Project until the D-B Entity meets the County’s requirements. In addition, a D-B Entity may be found Disqualified for either: (1) Omission of requested information or (2) Falsification of information.

The completed facilities will meet requirements of designing or constructing facilities that are defined in the 2013 California Building Code and related documents under the Group I-3 and B Occupancy Classifications.

The D-B Entity must have experience in the design and construction of California detention or correctional facilities, including State Fire Marshal (SFM) requirements.

PROPOSAL APPROACH

The Request for Proposal (RFP), when issued to the qualified D-B Entities, will describe the project in detail. The proposal approach will be based on the following:

- There will be performance criteria requirements and schematic level Bridging Documents expressing the project criteria.
- The selection will be on a Best Value basis with a stipulated sum as the maximum not-to-exceed amount as the basis for a responsive proposal.
- The proposal time will be limited to eight weeks with the assumption that the D-B Entities will have sufficient information in the Bridging Documents and RFP criteria to establish their cost proposal with only minimal design work.
- The intent is to minimize the design work of the D-B entities prior to selection and award of the contract. There will be a stipend paid to the top two ranked shortlisted proposers who are not selected.
- The D-B Entities will be provided the opportunity to propose design improvements to the Bridging Documents that, in their opinion, result in better value to the County.
- The County is satisfied that the Bridging Documents reflect the criteria for the project. Proposed design improvements will need to be detailed to demonstrate a better value.
- The Bridging Documents will be developed using Building Information Modeling (BIM) and AutoCAD that will be available to the D-B Entities for reference and/or development of their proposal.
- The selected D-B Entity will be required to develop their design and construction documents utilizing the BIM technology.
- Experience in California detention or correctional facilities will be a part of the selection criteria.
- Utilization of local firms and individuals will be considered in the selection criteria.

PUBLIC RECORD

The Statement of Qualifications submitted by D-B Entities will be kept confidential to the extent permitted by law; however, the contents may be disclosed to third parties for purpose of verification or investigation of substantial allegations.

COUNTY RIGHTS

The County reserves the right to waive minor irregularities and omissions in the information contained in the Request For Qualifications submitted, to make all final determinations, and to determine at any time that the shortlist procedures will not be applied to a specific future project.

The County may refuse to accept a response to the RFQ where the requested information and materials are not provided or not provided by the date specified. The closing time for proposals will not be changed in order to accommodate supplementation of incomplete submissions or late submissions. Failure to timely submit information shall not provide a basis for appeal.

The County reserves the right to adjust, increase, limit, suspend, or rescind the pre-qualification rating based on subsequently learned information. D-B Entities whose rating changes sufficient to disqualify them will be notified and given an opportunity for a hearing consistent with the hearing procedures described in the Appeal Process included below.

The County may require D-B Entities that will be using subcontractors who are not members of the D-B Entity for this Project, to submit the qualifications of certain subcontractors as specified in the proposal documents. Major subconsultants such as (but not limited to) mechanical, electrical, and plumbing will be required to successfully prove that they have completed, or demonstrated the experience, competency, capability, and capacity to complete projects of similar size, scope, or complexity, and that proposed key personnel have sufficient experience and training to competently manage and complete the design and construction of the project.

COUNTY SELECTION RESPONSIBILITY

The selection of the top firms to receive the Request For Proposal and the ultimate selection of the Design-Build Entity is at the sole discretion of the County. No appeal of the decision will be entertained.

Introduction and Overview of the Pre-Qualification Process

INTRODUCTION AND OVERVIEW OF THE PRE-QUALIFICATION PROCESS

SUBMISSION OF REQUIREMENTS, DATE, AND DELIVERY

Each Statement of Qualifications must be signed under penalty of perjury in the manner designated on the Certification Page, by an individual who has the legal authority to bind the D-B Entity.

If any information provided by a D-B Entity becomes inaccurate, the D-B Entity must immediately notify the County and provide updated accurate information in writing, under penalty of perjury.

The due date for the submission of the fully completed Statement of Qualifications is:

**2:00 pm on
September 13, 2016**

The Request For Qualifications is provided in a fill-in-the-blanks format. The D-B Entity must complete (fill in the blanks of) the Qualifications Document as provided. The intent is to have uniformity of submissions, ensure fair and objective review of submissions, and minimize the effort imposed upon the D-B Entity.

A complete submission will be comprised of a digital copy on a USB thumb drive and six (6) fully completed, signed, printed hard copies with required attachments of the Statement of Qualifications.

The Statement of Qualifications (USB thumb drive and hard copies) must be submitted in a sealed envelope and marked:

“CONFIDENTIAL”, STATEMENT OF QUALIFICATIONS OF DESIGN-BUILD ENTITIES,
Tri-County Juvenile Rehabilitation Facility
Attention: Andrea Armstrong, aarmstrong@co.yuba.ca.us

Deliver to:

Purchasing Office
c/o Administrative Services
Suite 119
915 8th Street
Marysville, CA 95901
(530) 749-7880

Under no circumstances are any of the prospective design build entities or anyone on the design-build entity teams to contact, discuss with, or inquire of any County Consultant, employee, elected official or member of the RFP review team on any matter relating to this solicitation process. This requirement is to ensure that the same information is received by all interested parties and no inconsistent, incomplete, or inaccurate information is communicated. Information obtained outside of this prequalification/short list process cannot be relied upon.

Unauthorized contact of any individual as described above may be cause for rejection of the design-build entities Statement of Qualifications or Proposal. All inquiries concerning this solicitation shall be directed to the following contact person. No telephone inquiries will be answered.

All information requests, questions, and project submissions concerning the Request for Qualifications must be directed in writing to both:

Andrea Armstrong
E-Mail: aarmstrong@co.yuba.ca.us
Phone: (530) 749-7880

Kaitlin Rathe, Vanir Project Manager
E-Mail: kaitlin.rathe@vanir.com
Phone: (530) 417-0625

Responses will be delivered to all parties interested in submitting qualifications.

QUESTIONS PROCEDURE

Questions pertaining to this RFQ must be submitted in writing via e-mail to name and addresses listed above. All questions must be received by the deadline shown in the timeline attached. The County will provide written responses to all pertinent questions in the form of an Addendum.

Copies of all questions submitted by design-build entities and subsequent answers will be distributed via written addenda. The County will make addenda available for review on County website. Failure of a DBE to receive an addendum shall not entitle the DBE to an extension of the schedule nor shall it permit the submission of any additional information after the deadline set forth in the schedule.

OBTAINING RFQ

Interested design-build entities may obtain the RFQ package, which contains the Identification of Design-Build Entity Proposal Manager form by downloading it from the County Website.

County Website:

<http://www.co.yuba.ca.us/Departments/Admin%20Services/purchasing%20solicitations.aspx>

DEFINITION OF WHAT COMPRISES A DESIGN-BUILD ENTITY

A "Design-Build Entity" means a partnership, corporation, or other legal entity that is able to provide appropriately licensed contracting, architectural, and engineering services as needed pursuant to a Design-Build contract.

Although that definition allows wide latitude in the composition of the D-B Entity, the County requires the D-B Entity to identify and list certain organizations that will provide services on behalf of the D-B Entity. Those core organizations must individually complete the appropriate scored question portion of the Pre-Qualification Questionnaire and obtain a passing score to be considered qualified.

There are two portions of the questionnaire each tailored to the general responsibility of the particular D-B Entity roles. Subpart 'A' applies to the Contractor Member; Subpart 'B' applies to the Architect Member. Each member must complete the appropriate portions of the Statement of Qualifications.

SCORING APPROACH

The evaluation rating of the D-B Entity will result from consideration of the scores attained in all parts of the questionnaire as presented in the Scoring Worksheets section.

Note: The Scoring Worksheets can be used by the D-B Entity, as a self-scoring tool, to determine their level of qualification prior to submittal of the completed document.

PART I: INFORMATION ABOUT THE D-B ENTITY

This part applies to the D-B Entity as a whole, and is for identification purposes only. There is no scoring value to this part.

The first page of this part of the Statement of Qualifications may be released to the public.

PART II: ESSENTIAL REQUIREMENTS FOR THE DESIGN-BUILD ENTITY AS A WHOLE

This part applies to the D-B Entity as a whole unit, and is a “Pass” or “Don’t Pass” series of questions. All questions must be answered correctly or the D-B Entity will be Disqualified. This is the first step in rating the D-B Entity. If the D-B Entity is able to correctly answer each of these questions, the D-B Entity’s ultimate rating will be dependent upon the scored questions.

If the D-B Entity is unable to correctly answer each of these questions, it will be Disqualified regardless of the possible results of the scored questions.

PART III: SCORED QUESTIONS FOR INDIVIDUAL MEMBERS OF THE D-B ENTITY

Subpart A: Scored Questions for the Contractor Member

See the Scoring Worksheets Section on starting on page 55 to review the scoring criteria.

This part applies to the Contractor Member. It consists of a series of questions that must be answered. Each question has an established numerical value. The total score attained establishes the rating for the Contractor Member.

The maximum possible score is shown on the Composite Scorecard below.

Subpart B: Scored Questions for the Architect Member

This part applies to the Architect Member. It consists of a series of questions that must be answered. Each question has an established numerical value. The total score attained establishes the rating for the Architect Member.

The maximum possible score is shown on the Composite Scorecard below.

PART IV: RECENT CONSTRUCTION PROJECTS COMPLETED

This part is optional and will be utilized at the County’s discretion. It is comprised of a series of questions relating to the D-B Entity’s performance on at least three (3) completed projects. The preferred reference projects are juvenile halls, jails, prisons, or other detention or correctional institutions. If utilized, the projects will be selected by the County from the total list of projects submitted. The questions listed may be asked by the County’s staff and answered by an Owner’s Representative for each project. Each question has a possible score value ranging from 1 to 10. The scores from all interviews will be averaged and multiplied by 0.2 for inclusion in the final point

total, if this part is utilized. The maximum possible score is shown on the Composite Scorecard below.

REVIEW, RATING, AND NOTIFICATION PROCESS

The completed Statement of Qualifications must be submitted by the deadline specified above. Once received, the review and notification process will be as follows:

1. The Statement of Qualifications will be time and date stamped upon receipt.
2. The Statement of Qualifications will be reviewed for completeness within seven (7) working days from the deadline date. The D-B Entity will be notified as to completeness. If the Statement of Qualifications has clerical, non-substantive errors, the D-B entity will have three (3) working days to correct those errors as needed to make the Document complete. If the Statement of Qualifications is complete, it will be reviewed.
3. The completed Statement of Qualifications will be reviewed within fifteen (15) working days from the deadline date, or ten (10) working days after receipt of supplemental documentation, whichever is later.
4. The D-B Entity will be notified of their qualification rating by electronic mail within twenty (20) working days from the submittal deadline date.

If the D-B Entity is short-listed for this Project, they will be eligible to propose on the Project and will be notified of the opportunity when the Technical Proposal documents are issued.

If the D-B Entity is not short-listed for this Project, they will not be issued the Technical Proposal documents and will not be eligible to propose on the Project.

COMPOSITE SCORECARD

The Composite Scorecard sample included below is provided for reference only. The Composite Scorecard indicates the maximum score that can be attained, plus the total for the Design-Build Entity. Points attained in Part IV will contribute the overall rating.

		Maximum	Minimum	
Part I	Information about D-B Entity	na		
Part II	Essential Requirements	Pass	No Pass	
Part III	Scored Questions			
Subpart A	Contractor	131		
Subpart B	Architect	53		
TOTAL	Part III Combined Score	184		
Part IV	Recent Projects (combined average)	32		

The D-B Entity may use the Composite Scorecard to assist in their self scoring. However, the final evaluation and rating of D-B Entity's qualifications are the sole discretion of the County and its Representatives.

Pre-Qualification Questionnaire

**PART I:
 INFORMATION ABOUT THE DESIGN-BUILD ENTITY AND
 EACH PROPOSED FIRM**

Lead Person or Firm - Contact Information

If D-B Entity is a sole proprietor or partnership:

D-B Entity Name: _____
 Contact: _____
 Address: _____
 Owner(s) of Company: _____
 Phone: _____ Fax: _____

Type of Entity (check one):

Corporation Partnership Sole Prop. Other Association

Proposed Firms		
Discipline	Name	License #
Contractor		
Architect		

EXPERIENCE WORKING TOGETHER - Provide a listing of all Design-Build projects **completed** within the past seven (7) years with the same General Contractor and Architect team. Include the following information:

- a. Description of Project including size, location, contract amount and owner.
- b. Start and Completion Date
- c. Did the project complete within the originally awarded budget? _____ if no, explain.
- d. Did the project complete within the originally awarded schedule? _____ If no, explain.
- e. Provide references for each project.

Limit all project information to a page. Do not list more than ten projects.

INFORMATION ABOUT THE CONTRACTOR MEMBER OF THE D-B ENTITY

- 1a. Type of Business (corporation, sole proprietorship, etc.): _____
- 1b. Date of company formation or incorporation: _____
- 1c. State of formation or incorporation: _____
- 1d. List the total number of current employees: _____

2. If your organization is a joint venture, provide the following information for each member of the joint venture.

Joint Venture Member #1

- 2a. Date of company formation or incorporation: _____
- 2b. State of formation or incorporation: _____
- 2c. List the total number of current employees: _____
- 2d. List the percentage of JV ownership: _____

Joint Venture Member #2

- 2e. Date of company formation or incorporation: _____
- 2f. State of formation or incorporation: _____
- 2g. List the total number of current employees: _____
- 2h. List the percentage of JV ownership: _____

Joint Venture Member #3

- 2i. Date of company formation or incorporation: _____
- 2j. State of formation or incorporation: _____
- 2k. List the total number of current employees: _____
- 2l. List the percentage of JV ownership: _____

3. Has there been any change in ownership of the Contractor Member at any time during the last three (3) years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

- Yes No

If "Yes," explain:

4. Is the Contractor Member a subsidiary, parent, holding company or affiliate of another firm?

NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

- Yes No

If "Yes," explain:

5. List all California construction license numbers, classifications, and expiration dates of the California contractor licenses held by the Contractor Member.

Contractor License	Classification	Expiration Date

6. If any of the Contractor Member's licenses are held in the name of a corporation or partnership, list below the names of the qualifying individuals listed on the CSLB records who meet the experience and examination requirements for each license.

Contractor License Number	Name of Qualifying Individual

7. Has the Contractor Member changed names or license numbers in the last five (5) years?

Yes No

If "Yes," explain:

8. Bonding capacity: Provide documentation from your surety identifying the following:

Bonding Co./Surety:		Surety Agent:	
Agent Address:		Telephone #:	
Total Bonding Capacity:		Total Available Bonding Capacity	

9. List all other sureties (name and full address) that have written bonds for your firm during the last five (5) years, including the dates during which each wrote the bonds:

Date	Surety	Address

10. Backlog of Work currently under contract:

Year	Overall Company	Managed from Local Office
2016 Estimate		
Total on the Books		

11. Quality Control Processes:

Does your company have a written QA/QC program?

Yes No

12. Financial Information: Provide your company's Total Revenue, Net Income, Current Assets, Current Liabilities, Total Debt, and Total Net Worth for the past three (3) fiscal years. Provide the most current fiscal year data available on a separate piece of paper and attach.

13. Experience Modification Rate

2016	
2015	
2014	
2013	

If the Modification Rate has been above 1.0 in the past four years, provide an explanation, including dates: _____ . **Submit a letter from your Workers' Compensation Carrier showing your Experience Modification Rate for the Past Ten Years.**

INFORMATION ABOUT THE ARCHITECT MEMBER OF THE D-B ENTITY

14. General Information.

- 14a. Date of company formation or incorporation: _____
- 14b. State of formation or incorporation: _____
- 14c. Total number of current employees: _____

15. If your organization is a joint venture, provide the following information for each member of the joint venture.

Joint Venture Member #1

- 15a. Date of company formation or incorporation: _____
- 15b. State of formation or incorporation: _____
- 15c. Total number of current employees: _____
- 15d. Percentage of JV ownership: _____

Joint Venture Member #2

- 15e. Date of company formation or incorporation: _____
- 15f. State of formation or incorporation: _____
- 15g. Total number of current employees: _____
- 15h. Percentage of JV ownership: _____

Joint Venture Member #3

- 16i. Date of company formation or incorporation: _____
- 16j. State of formation or incorporation: _____
- 16k. Total number of current employees: _____
- 16l. Percentage of JV ownership: _____

17. Has there been any change in ownership of the Architect Member at any time during the last three (3) years?

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

Yes No

If "Yes," explain:

18. Has the Architectural Member changed names or license numbers in the last five (5) years?

Yes No

If "Yes," explain:

19. Has any corporate officer or owner of the Architect of Record's firm worked for any other architectural or engineering firms in the past five years?

(NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.)

Yes No

If "yes," explain on a separate page

20. Has the Architect of Record's firm changed names in the past five years?

Yes No

If "yes," explain on a separate page

21. Is the Architect of Record's firm a subsidiary, parent, holding company or affiliate of another firm ?

(NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.)

Yes No

If "yes," explain on a separate page

**PART II:
ESSENTIAL REQUIREMENTS FOR THE DESIGN-BUILD ENTITY
AS A WHOLE**

**ESSENTIAL REQUIREMENTS FOR THE CONTRACTOR MEMBER OF
THE D-B ENTITY:**

NOTE: The D-B Entity will be automatically Disqualified if the answer to any of Questions 1 through 6 is “No.”

1. Does the Contractor Member possess a valid, current California contractor’s license covering the classification of work for which it intends to submit a proposal?
 Yes No

2. Does the Contractor Member have a commercial general liability insurance policy with a policy limits as follows: for Contractor, at least \$5,000,000 per occurrence and \$5,000,000 aggregate?
 Yes No

3. Does the Contractor Member have current workers’ compensation insurance policies as required by the Labor Code or are they legally self-insured pursuant to Labor Code section 3700 et. seq. or do they intend to obtain such insurance prior to commencing work.
 Yes No

If yes, provide the following information. (Attach a separate page if more than one policy)

Insured: _____
Insurance Company: _____
Policy Number: _____

4. Has the Contractor Member provided the company’s Total Revenue, Net Income, Current Assets, and Current Liabilities, Total Debt, and Total Net worth for the past (2) fiscal years. Provide the most current fiscal year data available.
 Yes No

Year		
Ending	_____	\$ _____
Year		
Ending	_____	\$ _____

Note: A financial statement that has not been reviewed or audited is not acceptable. A letter verifying availability of a line of credit is not a substitute for the required financial statement.

5. Has the Contractor Member attached a notarized statement from a surety insurer admitted (approved by the California Department of Insurance) and authorized to issue bonds in the State of California, which states that the Contractor Member’s current bonding capacity is

sufficient to provide payment and performance bonds in the amount of 100% of the contract total for the Project for which pre-qualification is sought?

Yes No

Note: A notarized statement must be from the surety company, not from an agent or broker.

6. Has the Contractor Member substantially completed the construction of a County or State detention or correctional project in California?

Yes No

NOTE: The D-B Entity will be automatically Disqualified if the answer to any of Questions 7 thru 13 is “Yes.”

7. Has any Contractor 's license held by the Contract Member or its associates been revoked or suspended at any time in the last five (5) years?

Yes No

8. Has a surety firm completed a contract on behalf of the Contractor Member or paid for completion because the firm was terminated for default by the project owner within the last five (5) years?

Yes No

9. At the time of submitting this Statement of Qualifications, is the Contractor Member ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?

Yes No

10. At any time during the last five (5) years, has the Contractor Member or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?

Yes No

11. Has the Contractor Member or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

Yes No

12. Has the Contractor Member or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?

Yes No

13. Has the Contractor Member or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

Yes No

ESSENTIAL REQUIREMENTS FOR THE ARCHITECT MEMBER OF THE D-B ENTITY:

NOTE: The D-B Entity will be automatically Disqualified if the answer to any of Questions 14 through 18 is “No.”

14. Does the Architect Member possess a valid and current California professional license covering the design discipline for their Project role?
 Yes No
15. Does the Architect Member have a professional liability insurance policy with a policy limit of at least \$3,000,000 per occurrence and \$5,000,000 aggregate?
 Yes No
16. Does the Architect Member have current workers' compensation insurance policies as required by the Labor Code or are they legally self-insured pursuant to Labor Code section 3700 et. seq.?
 Yes No
17. Has Has the Architect Member provided the company's Total Revenue, Net Income, Current Assets, and Current Liabilities, Total Debt, and Total Net worth for the past (2) fiscal years. Provide the most current fiscal year data available.
 Yes No

Year Ending	_____	\$ _____
Year Ending	_____	\$ _____

18. Has the Architect Member completed the design of a substantially completed County or State detention or correctional project in California?
 Yes No

NOTE: The D-B Entity will be automatically Disqualified if the answer to any of Questions 19 through 23 is “Yes.”

19. Has the Architect Member's license been revoked or suspended at any time in the last five (5) years?
 Yes No
20. At any time during the last five (5) years, has the Architect Member or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or performance of a government contract?
 Yes No

21. Has the Architect Member or any of its owners, officers, or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?
 Yes No
22. Has the Architect Member or any of its owners, officers, or partners ever been convicted of a crime involving any federal, state, or local law related to design or construction?
 Yes No
23. Has the Architect Member or any of its owners, officers, or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?
 Yes No

**PART III:
SCORED QUESTIONS FOR MEMBERS OF THE D-B ENTITY**

**SUBPART A
SCORED QUESTIONS FOR THE CONTRACTOR MEMBER**

A1. How many individual detention or correctional facilities has the Contractor Member constructed in California that exceeded 5,000 SF

Number:

Provide a listing of the five (5) largest (in area) individual detention or correctional facilities that the Contractor Member has constructed that exceeded 5,000 GSF within California. Project types may be design-bid-build, design-build, or design assist-build.

Project Name	Description	Size (sf)	Cost

A2. How many years has the Contractor Member or each Contractor Member of a Joint Venture organization been in business in California as a Contractor under its present business name and license number?

NOTE: If Contractor Member is a Joint Venture, add the years for each partner to the Joint Venture and enter the average of the combined experience.

Years: _____

A3. Is the Contractor Member or any party to the Joint Venture currently the debtor in a bankruptcy case?

Yes No

If “yes”, indicate the case number, and the date on which the petition was filed.

Case #: _____ **Date filed:** _____

A4. Was the Contractor Member or any party to the Joint Venture in bankruptcy at any time during the last five (5) years? (This question refers only to a bankruptcy action that was not described in answer to Question A3, above)

Yes No

If “yes”, indicate the case number and the date on which the petition was filed, and the Bankruptcy Court’s Discharge Order Number

Case #: _____ **Date filed:** _____ **Discharge #:** _____

A5. At any time in the last five (5) years has the Contractor Member or any party to the Joint Venture been assessed and paid liquidated damages of more than \$50,000 after completion of a project under a construction contract with either a public or private owner?
 Yes No

If “yes”, identify all such projects by owner, owner’s address, completion date of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

Owner:		Address:	
Completed:		Amount:	
Other:			

A6. In the last five (5) years has the Contractor Member, or any firm with which any of the Contractor’s owners, officers, or partners were associated, been debarred, Disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

NOTE: “Associated with” refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to Questions 1c or 1d on this form.

Yes No

If “yes”, identify the company, the person who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

Company:		Person:	
Year:		Owner:	
Project:			
Basis:			

Company:		Person:	
Year:		Owner:	
Project:			
Basis:			

A7. In the last five (5) years has the Contractor Member or its associates been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?

Yes No

If "yes", identify the year of the event, the owner, the project, and the basis for the finding by the public agency.

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

Year:		Owner:		Project:	
Basis:					

NOTE: The following two questions refer only to disputes between the Contractor Member and the owner of a project. You need not include information about disputes between the Contractor Member and a supplier, another contractor, or subcontractor. You need not include information about "pass-through" disputes in which the actual dispute is between a subcontractor and an owner.

A8. In the last five (5) years has any claim in excess of \$30,000 been filed in court, arbitration or mediation against the Contractor Member concerning its work on a construction project?

Yes No

If “yes”, identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		Date:	
Claimant:		Court:	
Nature:			

Status:

Project:		Date:	
Claimant:		Court:	
Nature:			

Status:

Project:		Date:	
Claimant:		Court:	
Nature:			

Status:

A9. In the last five (5) years has the Contractor Member made any claim in excess of \$30,000 against a project owner concerning work on a project or payment for a contract and filed that claim in court, arbitration, or mediation?

Yes No

If "yes", identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

Project:		Date:	
Entity:		Court:	
Nature & Status:			

Project:		Date:	
Entity:		Court:	
Nature & Status:			

Project:		Date:	
Entity:		Court:	
Nature & Status:			

A10. In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Contractor Member?

Yes No

If "yes," give the name of the insurance carrier, the form of insurance and the year of the refusal.

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

Year:		Carrier:		Form:	
Comments:					

A11. Has the Contractor Member or party to the Joint Venture been required to pay a premium of more than one percent (1.00%) for a performance and payment bond on any project on which the Contractor Member worked at any time during the last three (3) years?

Yes No

If "yes", state the year and the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one percent (1.00%), if you wish to do so.

NOTE: The score is based on highest single year.

Year:		Rate:	
Explanation:			

Year:		Rate:	
Explanation:			

Year:		Rate:	
Explanation:			

A12. During the last five (5) years, has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required?

Yes No

If yes, indicate the date when your firm was denied coverage and the name of the company or companies that denied coverage; and the period during which you had no surety bond in place.

Date:		Surety:		Duration Period:	
Explanation:					

Date:		Surety:		Duration Period:	
Explanation:					

Date:		Surety:		Duration Period:	
Explanation:					

A13. Has CAL OSHA cited and assessed penalties against the Contractor Member for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the last five (5) years?

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

Yes No

If “yes”, describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

A14. Has the Federal Occupational Safety and Health Administration cited and assessed penalties against the Contractor Member in the last five (5) years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Yes No

If "yes," describe each citation.

Explanation:

A15. Has the EPA, any Air Quality Management District, or any Regional Water Quality Control Board cited and assessed penalties against either the Contractor Member or the owner of a project being constructed by the Contractor Member in the last five (5) years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

Yes No

If "yes", describe each citation.

Explanation:

Explanation:

Explanation:

A16. Does the Contractor Member have personnel permanently assigned to safety?

Yes No

If "yes", state the names of all personnel who are assigned and list their specific duties. Attach a resume confirming their qualifications.

Names and Duties:

A17. Has the Contractor Member been issued an Experience Modification Rate (EMR) (California Workers' Compensation Insurance) for each of the last four (4) premium years?

Yes No

If your EMR for any of these four years is or was 1.00 or higher, list the year, provide the rate and provide an explanation.

NOTE: Score based on highest EMR in any single Year.

Premium Year:		Rate:	
Explanation:			

Premium Year:		Rate:	
Explanation:			

Premium Year:		Rate:	
Explanation:			

Premium Year:		Rate:	
Explanation:			

A18. Within the last five (5) years has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance?

Yes No

If "yes", list the year, list the period and explain the reason for the absence of workers' compensation insurance.

Year:		Period:	
Explanation:			

Year:		Period:	
Explanation:			

A19. Has there been more than one occasion during the last five (5) years in which the Contractor Member was required to pay either back wages or penalties for the Contractor Member's failure to comply with the State's prevailing wage laws?

Note: This question refers only to the Contractor Member's violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.

Yes No

If "yes", describe the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

Violation:		Project:	
Completion:		Agency:	
Employees:		Amount:	

Violation:		Project:	
Completion:		Agency:	
Employees:		Amount:	

Violation:		Project:	
Completion:		Agency:	
Employees:		Amount:	

A20. During the last five (5) years, have there been any occasions in which the Contractor Member has been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements?

Yes No

If “yes”, describe the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages and penalties that you were required to pay.

Violation:		Project:	
Completion:		Agency:	
Employees:		Amount:	

Violation:		Project:	
Completion:		Agency:	
Employees:		Amount:	

Violation:		Project:	
Completion:		Agency:	
Employees:		Amount:	

A21. At any time during the last five (5) years, has the Contractor Member been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

Note: You may omit reference to any incident that occurred if the violation was by a subcontractor and the Contractor Member, as Contractor on a project, had no knowledge of the subcontractor’s violation at the time it occurred.

Yes No

If “yes”, provide the date of such findings, describe the finding and reference the decision number.

Date	Department	Finding	Decision #

A22. How many Design-Build projects has the Contractor Member been involved with in the last ten (10) years? Include completed projects and projects that are currently in design or under construction.

Number:

A23. Does the Contractor Member's company have a written Injury and Illness Prevention Program (IIPP) that complies with California Code of Regulations, Title 8 Sections 1509 and 3203?

Yes No

A24. Using last year's Cal-OSHA 200 Log, fill in the following number of injuries and illnesses:

- a. Number of lost workday cases:
- b. Number of medical treatment cases:
- c. Number of fatalities:
- d. Employee hours worked last year:

SUBPART B: SCORED QUESTIONS FOR THE ARCHITECT MEMBER

B1. How many individual detention or correctional facilities has the Architect Member designed and developed contract documents for that exceeded 5,000 GSF in California?

Number: _____

Provide a listing of the five (5) largest (in area) individual detention or correctional facilities that the Architect Member has designed that exceeded 5,000 GSF. Project types may be design-bid-build, design-build, or design assist-build.

Project Name	Description	Size (sf)	Cost

B2. How many years has the Architect Member been in business in California as an Architect under its present business name and license number?

NOTE: If the Architect Member is Joint Venture, add the years for each partner to the Joint Venture and enter the average of the combined experience.

Years: _____

B3. Is the Architect Member currently the debtor in a bankruptcy case?

Yes No

If “yes”, indicate the case number, and the date on which the petition was filed.

Case number: _____ **Date filed:** _____

B4. Was the Architect Member in bankruptcy at any time during the last five (5) years? (This question refers only to a bankruptcy action that was not described in answer to Question B3., above)

Yes No

If “yes”, indicate the case number and the date on which the petition was filed, and the Bankruptcy Court’s Discharge Order Number.

Case number: _____ **Date filed:** _____ **Discharge #:** _____

B5. In the last five (5) years has any claim in excess of \$25,000 been filed in court, arbitration, or mediation against the Architect concerning its work on a project?

Yes No

If “yes”, identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed, and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project Name:		Date:	
Claimant:		Court:	
Nature:			

Status:

B6. In the last five (5) years has the Architect Member made any claim in excess of \$50,000 against a project owner concerning work on a project or payment for a contract and filed that claim in court, arbitration, or mediation?

Yes No

If “yes”, identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed, and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

Project Name:		Date:	
Entity(ies)		Court:	
Nature:			

Status:

B7. In the last five (5) years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for the Architect?

Yes No

If "yes", give name the insurance carrier, the form of insurance and the year of the refusal.

Carrier:		Ins. Form:		Refusal Year:	
Carrier:		Ins. Form:		Refusal Year:	
Carrier:		Ins. Form:		Refusal Year:	
Carrier:		Ins. Form:		Refusal Year:	
Carrier:		Ins. Form:		Refusal Year:	

B8. How many Design-Build projects has the Architect Member been involved with in the last ten (10) years? Include completed projects and projects that are currently in design or under construction.

Number:

**PART IV:
RECENT CONSTRUCTION PROJECTS COMPLETED**

The D-B Entity shall provide information on all but not to exceed six (6) of the most recently completed public works Design-Build projects that have been completed within the last ten (10) years. These projects may be ones in which any of the D-B Entity Members played key roles in the design and/or construction of the projects.

Of those six (6) projects, at least one (1) must be County detention and/or State prison projects constructed in California.

In addition to the six (6) projects required of the D-B Entity Members, the Contractor Member shall provide information on three (3) other projects that they consider to be the largest (in area) projects that they have completed within the last ten (10) years where they played a significant role during design, whether the projects were pure Design-Build or not. These projects may be public or private and may be located anywhere in the United States.

NOTE: All named representatives and references must be verifiable and all telephone numbers and email addresses must be current. This information will be used in conjunction with the reference checks outlined in the following section.

RECENT PROJECT INFORMATION SHEETS are provided on the following pages.

D-B ENTITY
PROJECT NUMBER 1

Project Name: _____
Project Location: _____
Owner: _____
Owner's Representative: _____
Owner's Representative's Phone #: _____
Owner's Representatives' E-mail: _____

Design-Build Entity (for this project): _____
D-B Entity's Representative: _____
D-B Entity's Representative's Phone #: _____
D-B Entity's Representative's E-mail: _____

Architect: _____
Architect's Representative: _____
Architect's Representative's Phone #: _____
Architect's Representative's E-mail: _____

Construction Manager (CM): _____
CM's Representative: _____
CM's Representative's Phone #: _____
CM's Representative's Email: _____

Description of the Project and the Scope of Work Performed:

Total Value of Construction: _____
Original Completion Date: _____
Time Extensions Granted: _____
Actual Completion Date: _____

D-B ENTITY
PROJECT NUMBER 2

Project Name:

Project Location:

Owner:

Owner's Representative:

Owner's Representative's Phone #:

Owner's Representative's E-mail:

Design-Build Entity (for this project):

D-B Entity's Representative:

D-B Entity's Representative's Phone #:

D-B Entity's Representative's E-mail:

Architect:

Architect's Representative:

Architect's Representative's Phone #:

Architect's Representative's E-mail:

Construction Manager (CM):

CM's Representative:

CM's Representative's Phone #:

CM's Representative's Email:

Description of the Project and the Scope of Work Performed:

Total Value of Construction:

Original Completion Date:

Time Extensions Granted:

Actual Completion Date:

D-B ENTITY
PROJECT NUMBER 3

Project Name: _____
Project Location: _____
Owner: _____
Owner's Representative: _____
Owner's Representative's Phone #: _____
Owner's Representatives' E-mail: _____

Design-Build Entity (for this project): _____
D-B Entity's Representative: _____
D-B Entity's Representative's Phone #: _____
D-B Entity's Representative's E-mail: _____

Architect: _____
Architect's Representative: _____
Architect's Representative's Phone #: _____
Architect's Representative's E-mail: _____

Construction Manager (CM): _____
CM's Representative: _____
CM's Representative's Phone #: _____
CM's Representative's Email: _____

Description of the Project and the Scope of Work Performed:

Total Value of Construction: _____
Original Completion Date: _____
Time Extensions Granted: _____
Actual Completion Date: _____

D-B ENTITY
PROJECT NUMBER 4

Project Name:

Project Location:

Owner:

Owner's Representative:

Owner's Representative's Phone #:

Owner's Representatives' E-mail:

Design-Build Entity (for this project):

D-B Entity's Representative:

D-B Entity's Representative's Phone #:

D-B Entity's Representative's E-mail:

Architect:

Architect's Representative:

Architect's Representative's Phone #:

Architect's Representative's E-mail:

Construction Manager (CM):

CM's Representative:

CM's Representative's Phone #:

CM's Representative's Email:

Description of the Project and the Scope of Work Performed:

Total Value of Construction:

Original Completion Date:

Time Extensions Granted:

Actual Completion Date:

D-B ENTITY
PROJECT NUMBER 5

Project Name:

Project Location:

Owner:

Owner's Representative:

Owner's Representative's Phone #:

Owner's Representatives' E-mail:

Design-Build Entity (for this project):

D-B Entity's Representative:

D-B Entity's Representative's Phone #:

D-B Entity's Representative's E-mail:

Architect:

Architect's Representative:

Architect's Representative's Phone #:

Architect's Representative's E-mail:

Construction Manager (CM):

CM's Representative:

CM's Representative's Phone #:

CM's Representative's Email:

Description of the Project and the Scope of Work Performed:

Total Value of Construction:

Original Completion Date:

Time Extensions Granted:

Actual Completion Date:

D-B ENTITY
PROJECT NUMBER 6

Project Name:

Project Location:

Owner:

Owner's Representative:

Owner's Representative's Phone #:

Owner's Representatives' E-mail:

Design-Build Entity (for this project):

D-B Entity's Representative:

D-B Entity's Representative's Phone #:

D-B Entity's Representative's E-mail:

Architect:

Architect's Representative:

Architect's Representative's Phone #:

Architect's Representative's E-mail:

Construction Manager (CM):

CM's Representative:

CM's Representative's Phone #:

CM's Representative's Email:

Description of the Project and the Scope of Work Performed:

Total Value of Construction:

Original Completion Date:

Time Extensions Granted:

Actual Completion Date:

GC MEMBER
PROJECT NUMBER 1

Project Name: _____
Project Location: _____
Owner: _____
Owner's Representative: _____
Owner's Representative's Phone #: _____
Owner's Representatives' E-mail: _____

Architect: _____
Architect's Representative: _____
Architect's Representative's Phone #: _____
Architect's Representative's E-mail: _____

Design-Build Entity (if applicable for this project): _____
D-B Entity's Representative: _____
D-B Entity's Representative's Phone #: _____
D-B Entity's Representative's E-mail: _____

Construction Manager (CM): _____
CM's Representative: _____
CM's Representative's Phone #: _____
CM's Representative's Email: _____

Description of the Project and the Scope of Work Performed:

Total Value of Construction: _____
Original Completion Date: _____
Time Extensions Granted: _____
Actual Completion Date: _____

GC MEMBER
PROJECT NUMBER 2

Project Name:

Project Location:

Owner:

Owner's Representative:

Owner's Representative's Phone #:

Owner's Representatives' E-mail:

Architect:

Architect's Representative:

Architect's Representative's Phone #:

Architect's Representative's E-mail:

Design-Build Entity (if applicable for this project):

D-B Entity's Representative:

D-B Entity's Representative's Phone #:

D-B Entity's Representative's E-mail:

Construction Manager (CM):

CM's Representative:

CM's Representative's Phone #:

CM's Representative's Email:

Description of the Project and the Scope of Work Performed:

Total Value of Construction:

Original Completion Date:

Time Extensions Granted:

Actual Completion Date:

GC MEMBER
PROJECT NUMBER 3

Project Name:

Project Location:

Owner:

Owner's Representative:

Owner's Representative's Phone #:

Owner's Representatives' E-mail:

Architect:

Architect's Representative:

Architect's Representative's Phone #:

Architect's Representative's E-mail:

Design-Build Entity (if applicable for this project):

D-B Entity's Representative:

D-B Entity's Representative's Phone #:

D-B Entity's Representative's E-mail:

Construction Manager (CM):

CM's Representative:

CM's Representative's Phone #:

CM's Representative's Email:

Description of the Project and the Scope of Work Performed:

Total Value of Construction:

Original Completion Date:

Time Extensions Granted:

Actual Completion Date:

CERTIFICATION

I, the undersigned, on behalf of the Design-Build Entity, certify and declare that I have read all of the foregoing answers to this Statement of Qualifications and know their contents. The matters stated in the Questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

(Printed Name of D-B Entity)

(Printed Name of Individual Firm)

(Printed Name Signatory)

(Signature)

(Date Signed)

Reference Interview Questions

REFERENCE INTERVIEW QUESTIONS (PART IV)

Note: The following questions are ones that the County interviewers may ask of the selected reference projects, and score value of each question. They are provided for information.

The D-B Entity should not fill in the answers on these pages.

The following questions may be used to interview selected contacts listed on the Recent Project Information Sheets submitted in Part IV. At least two projects from the list of projects labeled D-B Entity projects and at least one project from the list of projects labeled Contractor Member projects will be selected for interviews. The County staff will review the projects, select the ones to be interviewed and conduct the interviews. No action on the D-B Entity's part is necessary. The following questions will be asked of all individuals who are interviewed and are included in this package for information only.

1. Are there any outstanding stop notices, liens, or claims by the Contractor that are currently unresolved on contracts for which notices of completion were recorded more than 120 days ago?

Yes No If "yes," how many separate instances?

10 pts for 0 instances; 5 pts for 1 to 2 instances and 0 pts for 3 or more instances.

2. Was the project a County detention or a State prison constructed in California?

Yes No

10 pts for Yes; 0 pts for No

3. On a scale of 1-10, with 10 being the best, did the Contractor provide appropriate personnel?

Rating:

4. On a scale of 1-10, with 10 being the best, did the Contractor provide appropriate supervision?

Rating:

5. On a scale of 1-10, with 10 being the best, was there adequate equipment provided on the job?

Rating:

6. On a scale of 1-10, with 10 being the best, was the Contractor timely in providing reports, meeting minutes, schedule updates, and other paperwork?

Rating:

7. On a scale of 1-10, with 10 being the best, did the Contractor adhere to the project schedule that was approved?

Rating:

8. On a scale of 1-10, with 10 being the best, rate the Contractor on the timely submission of reasonable cost and time estimates to perform change order work.

Rating:

9. On a scale of 1-10, with 10 being the best, rate the Contractor on how well they performed the work after a change order was issued and how well they integrated the change order work into the project.

Rating:

10. On a scale of 1-10, with 10 being the best, rate the Contractor's performance in turning over Operation and Maintenance manuals, completing As-Built drawings, providing training and completing warranty items.

Rating:

11. On a scale of 1-10, with 10 being the best, rate the Contractor's performance for submitting reasonable claims on the project and for resolving the claims.

Rating:

12. On a scale of 1-10, with 10 being the best, rate the Contractor's performance in submitting timely payments to their subcontractors and suppliers.

Rating:

13. On a scale of 1-10, with 10 being the best, rate the quality of the overall work on the project.

Rating:

14. On a scale of 1-10, with 10 being the best, was the Contractor cooperative with the Owner, Architect, and Construction Manager?

Rating:

15. On a scale of 1-10, with 10 being the best, rate the Contractor's performance in resolving disputes in a fair and equitable manner.

Rating:

16. On a scale of 1-10, with 10 being the best, rate the Contractor's performance in completing the project on time.

Rating:

Scoring Worksheets

SCORING WORKSHEETS

The rating of the D-B Entity is determined by: 1) Confirming the correct answers to the Essential Requirements, 2) Adding the point value of all of the answers from the Scoring Questionnaires and, 3) Adding the point value of all Reference Interview Questions. The table below gives the value of each scored question for the Essential Requirements and the Scoring Questionnaires.

The Scoring Worksheets list every essential requirement and scored question. The requirements and questions are abbreviated to capture the essence of the item. The abbreviation does not change the actual requirement or question as previously written.

PART II Essential Requirements For the Design-Build Entity as a Whole

Question #	Requirement / Question	Yes	No	Score
1	Does the CM and each SCM possess a valid and current California contractor's license? <i>Yes = 0; No = 1</i>	<input type="checkbox"/>	<input type="checkbox"/>	
2	Does the CM and each SCM have liability insurance in the amounts designated? <i>Yes = 0; No = 1</i>	<input type="checkbox"/>	<input type="checkbox"/>	
3	Does the CM and each SCM have valid and current workers' compensation insurance? <i>Yes = 0; No = 1</i>	<input type="checkbox"/>	<input type="checkbox"/>	
4	Has the CM attached a reviewed or audited financial statement? <i>Yes = 0; No = 1</i>	<input type="checkbox"/>	<input type="checkbox"/>	
5	Has the CM attached a notarized surety statement? <i>Yes = 0; No = 1</i>	<input type="checkbox"/>	<input type="checkbox"/>	
6	Has the CM completed a detention or prison project in California? <i>Yes = 0; No = 1</i>	<input type="checkbox"/>	<input type="checkbox"/>	
7	Have any CM or SCM licenses been revoked or suspended in the last five years? <i>Yes = 1; No = 0</i>	<input type="checkbox"/>	<input type="checkbox"/>	
8	Has a surety firm completed a contract on behalf of the CM or any SCM within the last five years? <i>Yes = 1; No = 0</i>	<input type="checkbox"/>	<input type="checkbox"/>	
8	Is the CM or any SCM ineligible to bid on or be awarded a public works contract? <i>Yes = 1; No = 0</i>	<input type="checkbox"/>	<input type="checkbox"/>	
10	Has the CM or any SCM been convicted of a crime involving a public works contract? <i>Yes = 1; No = 0</i>	<input type="checkbox"/>	<input type="checkbox"/>	
11	Has the CM ever been found liable or guilty for making any false claim or material misrepresentation? <i>Yes = 1 pt; No = 0</i>	<input type="checkbox"/>	<input type="checkbox"/>	

Question #	Requirement / Question	Yes	No	Score
12	Has the CM been convicted of a crime involving any law related to construction? <i>Yes = 1 pt; No = 0</i>	<input type="checkbox"/>	<input type="checkbox"/>	
13	Has the CM been convicted of a crime of fraud, theft, or dishonesty? <i>Yes = 1 pt; No = 0</i>	<input type="checkbox"/>	<input type="checkbox"/>	
14	Does the Architect Member possess a valid and current California professional license? <i>Yes = 0; No = 1</i>	<input type="checkbox"/>	<input type="checkbox"/>	
15	Does the Architect Member possess professional liability insurance in the amounts specified? <i>Yes = 0; No = 1</i>	<input type="checkbox"/>	<input type="checkbox"/>	
16	Does the Architect Member have valid and current workers' compensation insurance? <i>Yes = 0; No = 1</i>	<input type="checkbox"/>	<input type="checkbox"/>	
17	Has the Architect Member attached a reviewed or audited financial statement? <i>Yes = 0; No = 1</i>	<input type="checkbox"/>	<input type="checkbox"/>	
18	Has the Architect Member completed a detention or prison project in California? <i>Yes = 0; No = 1</i>	<input type="checkbox"/>	<input type="checkbox"/>	
19	Have any Architect Member's license been revoked or suspended in the last five years? <i>Yes = 1; No = 0</i>	<input type="checkbox"/>	<input type="checkbox"/>	
20	Has the Architect been convicted of a crime involving a public works contract? <i>Yes = 1; No = 0</i>	<input type="checkbox"/>	<input type="checkbox"/>	
21	Has the Architect Member ever been found liable or guilty for making any false claim or material misrepresentation? <i>Yes = 1 pt; No = 0 pts</i>	<input type="checkbox"/>	<input type="checkbox"/>	
22	Has the Architect Member ever been convicted of a crime involving any law related to design or construction? <i>Yes = 1 pt; No = 0 pts</i>	<input type="checkbox"/>	<input type="checkbox"/>	
23	Has the Architect Member ever been convicted of a crime of fraud, theft or dishonesty? <i>Yes = 1 pt; No = 0 pts</i>	<input type="checkbox"/>	<input type="checkbox"/>	
Required Score is "0" for Essential Requirements				
(The D-B Entity is Disqualified if score is greater than "0")				

PART III SUBPART A
Scored Questions for the Contractor Member (CM)

Question #	Requirement / Question	Yes	No	Instance	Score
A1	How many detention or correctional facilities has the CM constructed larger than 20,000 GSF? <i>15 pts for 5 or more; 3 pts per project for less than 5</i>				
A2	How many years has the CM been in business in California as a licensed Contractor? <i>3-5 yrs = 1 pt; 6-8 yrs = 2 pts; 9-11 yrs = 3 pts; 12-14 yrs = 4 pts; 15 yrs or more= 5 pts</i>				
A3	Is the CM currently the debtor in a bankruptcy case? <i>Yes = 0 pts; No = 3 pts</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A4	Was the CM or its associates in bankruptcy at any time in the last five years? <i>Yes = 0 pts; No = 3 pts</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A5	Has the CM been assessed and paid liquidated damages of more than \$50,000 in the last five years? <i>0 to 1 projects = 5 pts; 2 projects =3 pts; more than 2 = 0 pts</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A6	Has the CM been prevented from bidding on public works projects in the last five years? <i>Yes =subtract 5 pts; No =5 pts</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A7	Has the CM been denied award of a public works project for not being a responsible bidder in last five years? <i>Yes = 0 pts; No = 5 pts</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A8	Has any claim in excess of \$30,000 been filed against the CM in the last five years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances.</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A9	Has the CM filed a claim in excess of \$30,000 against an owner in last five years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A10	Has any insurance carrier refused to renew the insurance policy for the CM? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A11	Has the CM been required to pay a premium of more than 1% for a performance and payment bond in the last three years? <i>5 pts for up to 1%; 3 pts for no higher than 1.10%; 0 pts for any other answer</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A12	Has the CM been denied bond coverage by a surety company in the last five years? <i>Yes =0; No =5</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A13	Has CAL OSHA cited and assessed penalties against the CM for any serious, willful or repeat violations in last five years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>	<input type="checkbox"/>	<input type="checkbox"/>		

Question #	Requirement / Question	Yes	No	Instance	Score
A14	Has the Federal OSHA cited and assessed penalties against the CM in the last five years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A15	Has the EPA, AQMD or any RWQCB cited and assessed penalties against the CM or project owner in the last five years? <i>5 pts for 0 to 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A16	Does the CM have personnel permanently assigned to safety? <i>3 points for Yes; 0 points for No</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A17	Has the CM been issued an EMR for each of the last four years? <i>5 pts for .95 or less; 3 pts if between .96 and 1.00; 0 pts for any other answer</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A18	Has there been a period of time that the CM has been without worker's compensation insurance in last five years? <i>5 pts for 0 to 1 instance; 0 pts for any other answer</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A19	Has there been more than one occasion when the CM failed to comply with State prevailing wage laws in the last five years? <i>5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A20	Has there been more than one occasion when the CM failed to comply with Federal prevailing wage laws in the last five years? <i>5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A21	Has the CM violated any provision of California apprenticeship laws in the last five years? <i>5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A22	How many Design-Build projects has the CM been involved with in the last ten years? <i>2 pts per project up to a maximum of 12 pts</i>				
A23	Does the CM's company have a compliant IIPP? <i>5 pts for Yes; 0 points for No</i>	<input type="checkbox"/>	<input type="checkbox"/>		
A24	Using last year's Cal-OSHA 200 Log, fill in the number of injuries and illnesses <i>5 pts for 0 on items a-c; 0 pts for any other answer</i>				
Total for Scored Contractor Member Questions Maximum Possible Score is 131					

PART III- SUBPART B
Scored Questions for the Architect Member

Question #	Requirement / Question	Yes	No	Instance	Score
B1	How many detention or correctional facilities has the Architect Member designed? <i>15 pts for 5 or more; 3 pts per project for less than 5</i>				
B2	How many years has the Architect been in business in California? <i>3-5 yrs = 1 pt; 6-8 yrs = 2 pts; 9-11 yrs = 3 pts; 12-14 yrs = 4 pts; 15 yrs or more= 5 pts</i>				
B3	Is the Architect Member currently the debtor in a bankruptcy case? <i>Yes =0; No =3</i>	<input type="checkbox"/>	<input type="checkbox"/>		
B4	Was the Architect Member in bankruptcy at any time in the last five years? <i>Yes =0; No =3</i>	<input type="checkbox"/>	<input type="checkbox"/>		
B5	Has any claim in excess of \$25,000 been filed against the Architect Member in the last five years? <i>5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer.</i>	<input type="checkbox"/>	<input type="checkbox"/>		
B6	Has the Architect Member filed a claim in excess of \$50,000 against an owner in the last five years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer.</i>	<input type="checkbox"/>	<input type="checkbox"/>		
B7	Has any insurance carrier refused to renew the insurance policy for the Architect Member? <i>5 pts for 0 to 2 instance; 3 pts for 3 instances; 0 pts for any other answer</i>	<input type="checkbox"/>	<input type="checkbox"/>		
B8	How many Design-Build projects has the Architect Member been involved with in the last ten years? <i>12 pts for 6 or more; 2 pt per project for less than 6</i>				
Total for Scored Architect Member Questions					
Maximum Possible Score is 53					

Master Schedule Outline

1.1. ESTIMATED OUTLINE PROJECT MASTER SCHEDULE

The Projected project key milestones are as follows:

1.1.1.	Issuance of RFQ	Aug 23, 2016
1.1.2.	Deadline for RFQ RFI's	Sept 6, 2016
1.1.3.	Receive RFQ Submittals	Sept 13, 2016
1.1.4.	Start County Review of RFQ Submittals	Sept 14, 2016
1.1.5.	Project Reference Interviews (Optional)	Sept 26 - 30, 2016
1.1.6.	NOD Selected Shortlist for RFP	Oct 4, 2016
1.1.7.	BOS Final Approval of Shortlisted DBE teams	Oct 30, 2016
1.1.8.	Issuance of RFP	Nov 16, 2016
1.1.9.	Submittal of Proposal	January 13, 2017
1.1.10.	Interviews/ Negotiations (1-2 rounds)	Jan 30 – Feb 3, 2017
1.1.11.	BOS Conditional Award/Contract Approval	Apr 11, 2017
1.1.12.	Issue Notice to Proceed with Design	May 12, 2017
1.1.13.	Notice to Proceed-Estimated Start of Construction	November 17, 2017
1.1.14.	Substantial Completion Date	January 11, 2019
1.1.15.	Facility Occupancy	Apr 12, 2019

NOTE: Dates are tentative and may change based on availability of State/County officials. Target Completion Date is defined as the date when D-B Entity has successfully completed the following: (1) D-B Entity's notification to County requesting a Substantial Completion inspection, (2) Inspection and determination of Substantial Completion by the County, and (3) County signed and issued Certificate of Substantial Completion to the D-B Entity. "Substantial Completion" is described in more particularity in the Agreement for Design-Build Services.

2.1. Drawing Index - The attached sheets are conceptual drawings that serve to define the project for the purposes of this RFQ. Full bridging documents and a Revit Model will be available for the RFP portion of the project.

- Q-A1.0 Overall Site Plan
- Q-A1.1 Site Plan
- Q-A1.2 Partial Floor Plan
- Q-A1.3 Partial Floor Plan
- Q-A1.4 Sally Port Plan
- Q-A1.5 Massing Perspective